

Form 1449 (Modified) Information Disclosure Statement By Applicant (Use Several Sheets if Necessary)	Atty. Docket No.:	ORCL5734
	Application No.:	To Be Assigned.
	Filing Date:	To Be Assigned.
	Applicant:	JOHNSON, Richard C.
	Group No.:	To Be Assigned.
	Examiner:	To Be Assigned.



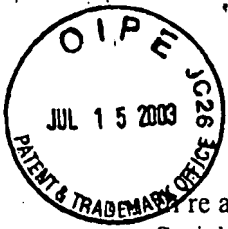
Internet Papers

Exr. Initials BB	1.	Internet Auctions, USENIX Papers, <i>Internet Auctions</i> , Kumar, Manoj and Feldman, Stuart I., pp. 1-13; IBM Research Division, T.J. Watson Research Center, Yorktown Heights, NY 10598, http://ftp.sage.usenix.org/event/ec98/f...rs/kumar_auctions/kumar_html/kumar.htm
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Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Barney Shulkin

u/a/07

21613
BT
7-2403
USSN: 09/965,098

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re application of: JOHNSON, R.
Serial Number: 09/965,098
Filing Date: September 26, 2001
Title: HYBRID AUCTIONS AND METHODS AND SYSTEMS FOR
CONDUCTING SAME OVER A COMPUTER NETWORK

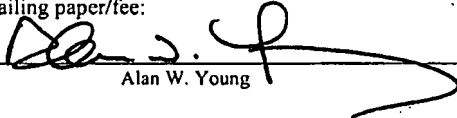
Group Art Unit No.: 2161

Examiner: Unknown

Docket: ORCL5734

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231, on July 10, 2003. Signature of Person mailing paper/fee:


Alan W. Young

July 10, 2003
Date
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Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR § 1.97(b)
BEFORE THE MAILING DATE OF A FIRST OFFICE ACTION

Sir:

Applicants wish to call the attention of the Examiner to the following references. A copy of each of these references is enclosed, along with PTO Form 1449.

U.S. Patent Documents

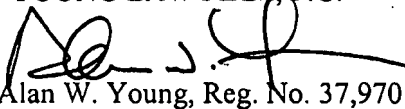
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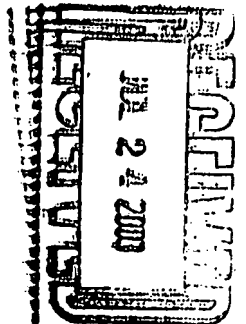
No.	Patent No.	Date	Patentee
1.	6,449,601	Sep 10 2002	Friedland, et al.
2.	6,243,691	JUN 5 2001	Fisher, et al.
3.	5,794,219	AUG 11 1998	Brown
4.	3,581,072	MAY 25 1971	Nymeyer

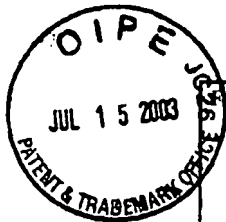
As this Information Disclosure Statement is submitted under 37 CFR 1.97(b), before the mailing date of a first Office Action, no certification or fees are due. If any unresolved issues remain, please contact Applicant's attorney at the telephone number indicated below.

Respectfully submitted,

YOUNG LAW FIRM, P.C.


by: Alan W. Young, Reg. No. 37,970
4370 Alpine Road, Suite 106
Portola Valley, CA 94028
Tel: (650) 851-7210
Fax: (650) 851-7232

Date: July 10, 2003



Form 1449 (Modified)

Information Disclosure
Statement By Applicant

(Use Several Sheets if Necessary)

Atty. Docket No.:	ORCL5734
Application No.:	09/965,098
Filing Date:	September 26, 2001
Applicant:	JOHNSON, Richard C.
Group No.:	2161
Examiner:	Unknown

U.S. PATENT DOCUMENTS

1.	6,449,601	Sep 10 2002	Friedland, et al.
2.	6,243,691	JUN 5 2001	Fisher, et al.
3.	5,794,219	AUG 11 1998	Brown
4.	3,581,072	MAY 25 1971	Nymeyer

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Richard C. Johnson

11/1/07

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(Use as many sheets as necessary)

Sheet	1	of	2
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Complete if Known

Application Number	09/965,098
Filing Date	September 26, 2001
First Named Inventor	Richard C. Johnson
Art Unit	Not Assigned
Examiner Name	Not Assigned
Attorney Docket Number	ORCL5734

U. S. PATENT DOCUMENTS

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MAR 23 2004
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FOREIGN PATENT DOCUMENTS

[illegible]

**Examiner
Signature**

Andrew Johnston

Date Considered

9/9/02

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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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PTO/SB/08A (08-03)

Approved for use through 07/31/2008. OMB 0851-0031

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Substitute for form 1442/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 1 of 2

Complete if Known

Application Number	09/965,098
Filing Date	September 26, 2001
First Named Inventor	Richard C. JOHNSON
Art Unit	2181
Examiner Name	Not Assigned
Attorney Docket Number	ORCL5734

U. S. PATENT DOCUMENTS

[illegible]

FOREIGN PATENT DOCUMENTS

[illegible]

**Examiner
Signature**

Butler Christie

Date Considered

11/9/07

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PTO/SB/068 (08-03)

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Substitute for form 1449PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Complete if Known

Application Number	09/865,098
Filing Date	September 26, 2001
First Named Inventor	Richard C. JOHNSON
Art Unit	2161
Examiner Name	Not Assigned
Attorney Docket Number	ORCL5734

Sheet 2 of 2

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
BB	3	International Search Report from corresponding International Application No. PCT/US02/30582, mailed July 7, 2003 (3pgs).	
BB	4	International Preliminary Examination Report from corresponding International Application No. PCT/US02/30562, completed on March 22, 2004 (4pgs).	

Examiner Signature	<i>Richard C. Johnson</i>	Date Considered	11/9/07
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1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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